



5-16-07

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PATENT

3628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cadoux, Robert) Examiner: Milef, E.
Serial No.: 09/491,388)
Filing Date: Jan. 26, 2000) Art Unit: 3628
) Atty. Docket No. 099629

Title : A SERIALLY STAGED, INITIAL PUBLIC STOCK OFFERING METHOD

INFORMATION DISCLOSURE STATEMENT

Kirkpatrick & Lockhart Preston Gates Ellis
Pittsburgh, PA
May 15, 2007

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant, in accordance with his duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent documents, if any, are enclosed.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." Applicants further note that the filing of this *Information Disclosure Statement* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Applicant submit that no payment is necessary for consideration of this *Information Disclosure Statement* under 37 C.F.R. § 1.97(c)(1) because (i) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, (ii) to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. Nevertheless, the

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Commissioner is hereby authorized to charge Account No. 11-1110 for any fees necessary for consideration of this *Information Disclosure Statement*.

Respectfully submitted,

Date: May 15, 2007



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<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>		Complete if Known	
		Application Number	09/491,388
		Filing Date	Jan. 26, 2000
		First Named Inventor	Robert Cadoux
		Art Unit	3628
		Examiner Name	Elda G. Milef
Sheet	1	of	1
		Attorney Docket Number	

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount or time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
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